

06/15/01

06/14/01

JC798 U.S. PTO

PATENT APPLICATION TRANSMITTAL LETTER

(Small Entity)

Docket No.
36777.00300

JC978 U.S. PTO 09/881613

06/14/01

TO THE ASSISTANT COMMISSIONER FOR PATENTS

Transmitted herewith for filing under 35 U.S.C. 111 and 37 C.F.R. 1.53 is the patent application of:

Hajime NODA and Kazuhiro HIRANO

For: Client Information Collecting Method, Client Information Providing Method, Point Assigning Method,
Merchandise Information Providing Method, and Merchandise Information Collection Apparatus Using Network

Enclosed are:

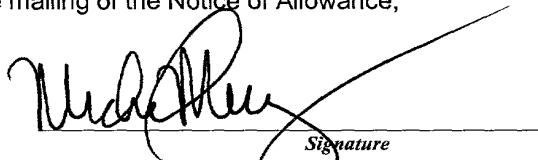
- ☒ Certificate of Mailing with Express Mail Mailing Label No. EL 819 057 919US
- ☒ 15 (Figs. 1-15) sheets of drawings.
- ☒ A certified copy of a JP2000-184633, 6/20/00 application.
JP2001-37567, 2/14/01
- ☒ Declaration ☒ Signed. ☐ Unsigned.
- ☒ Power of Attorney
- ☐ Information Disclosure Statement
- ☐ Preliminary Amendment
- ☒ One Verified Statement(s) to Establish Small Entity Status Under 37 C.F.R. 1.9 and 1.27.
- ☐ Other:

CLAIMS AS FILED

For	#Filed	#Allowed	#Extra	Rate	Fee
Total Claims	31	- 20 =	11	x \$9.00	\$99.00
Indep. Claims	18	- 3 =	15	x \$40.00	\$600.00
Multiple Dependent Claims (check if applicable) <input type="checkbox"/>					\$0.00
BASIC FEE					\$355.00
TOTAL FILING FEE					\$1,054.00

- ☒ A check in the amount of \$1,054.00 to cover the filing fee is enclosed.
- ☒ The Commissioner is hereby authorized to charge and credit Deposit Account No. 13-5200 as described below. A duplicate copy of this sheet is enclosed.
- ☐ Charge the amount of as filing fee.
- ☒ Credit any overpayment.
- ☒ Charge any additional filing fees required under 37 C.F.R. 1.16 and 1.17.
- ☐ Charge the issue fee set in 37 C.F.R. 1.18 at the mailing of the Notice of Allowance, pursuant to 37 C.F.R. 1.311(b).

Dated: June 14, 2001


Signature
Michael M. Murray, Registration No. 32,537
Milbank, Tweed, Hadley & McCloy LLP
One Chase Manhattan Plaza
New York, New York 10005
Telephone (212)530-5424
Facsimile (212)822-5424

cc:

UTILITY PATENT APPLICATION TRANSMITTAL

(Small Entity)

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Docket No.
36777.00300Total Pages in this Submission
4**TO THE ASSISTANT COMMISSIONER FOR PATENTS**Box Patent Application
Washington, D.C. 20231

Transmitted herewith for filing under 35 U.S.C. 111(a) and 37 C.F.R. 1.53(b) is a new utility patent application for an invention entitled:

CLIENT INFORMATION COLLECTING METHOD, CLIENT INFORMATION PROVIDING METHOD, POINT ASSIGNMENT METHOD, MERCHANDISE INFORMATION PROVIDING METHOD, AND MERCHANDISE INFORMATION COLLECTION APPARATUS

and invented by:

Hajime NODA, c/o Disparce, Inc., 3-23-6, Nishiki, Naka-ku, Nagoya-shi, Aichi-ken 460-0003, Japan; and Kazuhiro HIRANO, c/o Disparce, Inc., 3-23-6, Nishiki, Naka-ku, Nagoya-shi, Aichi-ken 460-0003, Japan.

If a **CONTINUATION APPLICATION**, check appropriate box and supply the requisite information:
☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application No.: _____

Which is a:

☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application No.: _____

Which is a:

☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application No.: _____

Enclosed are:

Application Elements

1. ☒ Filing fee as calculated and transmitted as described below
2. ☒ Specification having 72 pages and including the following:
 - a. ☒ Descriptive Title of the Invention
 - b. ☐ Cross References to Related Applications (if applicable)
 - c. ☐ Statement Regarding Federally-sponsored Research/Development (if applicable)
 - d. ☐ Reference to Microfiche Appendix (if applicable)
 - e. ☒ Background of the Invention
 - f. ☒ Brief Summary of the Invention
 - g. ☒ Brief Description of the Drawings (if drawings filed)
 - h. ☒ Detailed Description
 - i. ☒ Claim(s) as Classified Below
 - j. ☒ Abstract of the Disclosure

UTILITY PATENT APPLICATION TRANSMITTAL
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(Only for new nonprovisional applications under 37 CFR 1.53(b))

Docket No.
36777.00300

Total Pages in this Submission
4

Application Elements (Continued)

3. ☒ Drawing(s) *(when necessary as prescribed by 35 USC 113)*
a. ☒ Formal b. ☐ Informal Number of Sheets 15
4. ☒ Oath or Declaration
a. ☒ Newly executed *(original or copy)* ☐ Unexecuted
b. ☐ Copy from a prior application (37 CFR 1.63(d)) *(for continuation/divisional application only)*
c. ☐ With Power of Attorney ☐ Without Power of Attorney
d. ☐ DELETION OF INVENTOR(S)
Signed statement attached deleting inventor(s) named in the prior application,
see 37 C.F.R. 1.63(d)(2) and 1.33(b).
5. ☐ Incorporation By Reference *(usable if Box 4b is checked)*
The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied under Box 4b, is considered as being part of the disclosure of the accompanying application and is hereby incorporated by reference therein.
6. ☐ Computer Program in Microfiche
7. ☐ Genetic Sequence Submission *(if applicable, all must be included)*
a. ☐ Paper Copy
b. ☐ Computer Readable Copy
c. ☐ Statement Verifying Identical Paper and Computer Readable Copy

Accompanying Application Parts

8. ☒ Assignment Papers *(cover sheet & documents)*
9. ☐ 37 CFR 3.73(b) Statement *(when there is an assignee)*
10. ☐ English Translation Document *(if applicable)*
11. ☐ Information Disclosure Statement/PTO-1449 ☐ Copies of IDS Citations
12. ☐ Preliminary Amendment
13. ☒ Acknowledgment postcard
14. ☒ Certificate of Mailing
☐ First Class ☒ Express Mail *(Specify Label No.):* EL819057919US

**UTILITY PATENT APPLICATION TRANSMITTAL
(Small Entity)**

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Docket No.
36777.00300

Total Pages in this Submission
4

Accompanying Application Parts (Continued)

15. ☒ Certified Copy of Priority Document(s) *(if foreign priority is claimed)*
16. ☒ Small Entity Statement(s) - Specify Number of Statements Submitted: 1
17. ☐ Additional Enclosures *(please identify below):*

Request That Application Not Be Published Pursuant To 35 U.S.C. 122(b)(2)

18. ☐ Pursuant to 35 U.S.C. 122(b)(2), Applicant hereby requests that this patent application not be published pursuant to 35 U.S.C. 122(b)(1). Applicant hereby certifies that the invention disclosed in this application has not and will not be the subject of an application filed in another country, or under a multilateral international agreement, that requires publication of applications 18 months after filing of the application.

Warning

An applicant who makes a request not to publish, but who subsequently files in a foreign country or under a multilateral international agreement specified in 35 U.S.C. 122(b)(2)(B)(i), must notify the Director of such filing not later than 45 days after the date of the filing of such foreign or international application. A failure of the applicant to provide such notice within the prescribed period shall result in the application being regarded as abandoned, unless it is shown to the satisfaction of the Director that the delay in submitting the notice was unintentional.

UTILITY PATENT APPLICATION TRANSMITTAL
(Small Entity)

(Only for new nonprovisional applications under 37 CFR 1.53(b))

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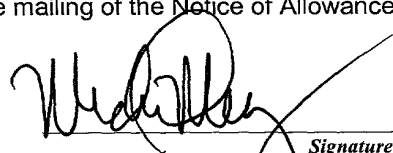
Fee Calculation and Transmittal

CLAIMS AS FILED

For	#Filed	#Allowed	#Extra	Rate	Fee
Total Claims	31	- 20 =	11	x \$9.00	\$99.00
Indep. Claims	18	- 3 =	15	x \$40.00	\$600.00
Multiple Dependent Claims (check if applicable) <input type="checkbox"/>					\$0.00
BASIC FEE					\$355.00
OTHER FEE (specify purpose) _____					\$0.00
TOTAL FILING FEE					\$1,054.00

- ☒ A check in the amount of **\$1,054.00** to cover the filing fee is enclosed.
- ☒ The Commissioner is hereby authorized to charge and credit Deposit Account No. _____ as described below. A duplicate copy of this sheet is enclosed.
- ☐ Charge the amount of _____ as filing fee.
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- ☒ Charge any additional filing fees required under 37 C.F.R. 1.16 and 1.17.
- ☐ Charge the issue fee set in 37 C.F.R. 1.18 at the mailing of the Notice of Allowance, pursuant to 37 C.F.R. 1.311(b).

Dated: June 14, 2001



Signature

Michael M. Murray, Registration No. 32,537
Milbank, Tweed, Hadley & McCloy LLP
One Chase Manhattan Plaza
New York, New York 10005
Telephone (212)530-5424
Facsimile (212)822-5424

CC:

CERTIFICATE OF MAILING BY "EXPRESS MAIL" (37 CFR 1.10)Docket No.
36777-00300

Applicant: Hajime NODA and Kazuhiro HIRANO
Serial No.: To Be Assigned
Date Filed: June 14, 2001 (Herewith)
Group Art Unit: To Be Assigned
For: CLIENT INFORMATION COLLECTING METHOD, CLIENT
INFORMATION PROVIDING METHOD, POINT ASSIGNING METHOD,
MERCHANDISE INFORMATION PROVIDING METHOD, AND
MERCHANDISE INFORMATION COLLECTION APPARATUS USING
NETWORK

I hereby certify that this :

Certificate of Mailing by Express Mail EL 819 057 919US; Patent Application Transmittal Letter (Small Entity); Utility Patent Application Transmittal (Small Entity); Utility Patent Application consisting of 72 pages of specification and 15 sheets of formal drawings (Figs. 1-15); Declaration and Power of Attorney (3 pages, signed); check in the amount of \$1,054.00 to cover application fee; Assignment Recordation Form Cover Sheet with 2 pg. Executed Assignment; Check in the amount of \$40.00 (recordation fee); Statement Claiming Small Entity Status (37 C.F.R. §1.27); Certified Copies of JP No. 2000-184633 and JP 2001-37567; and acknowledgment postcard

are being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 in an envelope addressed to: The Commissioner for Patents, Box New Patent Applications, Washington, D.C. 20231 on:

June 14, 2001

Sandina M. Marino

Typed Name of Person Mailing Correspondence


Signature of Person Mailing Correspondence

EL819 057 905US

"Express Mail" Mailing Label Number)